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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/780,504	02/12/2001	Magnus Nilsson	003300-743	7099

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EXAMINER
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MCCLELLAN, JAMES S

ART UNIT	PAPER NUMBER
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3627

DATE MAILED: 03/02/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/780,504

Applicant(s)

NILSSON, MAGNUS

Examiner

James S McClellan

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 22 December 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Amendment*

1. Applicant's submittal of an amendment was entered on December 22, 2003, wherein:  
claims 1-20 are pending and  
claims 1, 6, 9, and 13-16 have been amended.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-20 are rejected under 35 U.S.C. 102(e) as being anticipated by US Patent Publication No. US 2001/0027420 A1 (Boublik et al.).

Regarding **claim 1**, Boublik et al. discloses a method for at least partly automated bookkeeping (see paragraph 0007 on page 1, "transaction data automatically entered into a user's financial application), comprising: providing a server (see paragraph 0031 on page 2, "a computerized system comprising a server side component and a client side component") with a banking service application (see ¶ 0052) and an account service application (see ¶ 0060, "accounting package"), said server being accessible to at least one client (see ¶ 0031) through wide area network (see Figure 1, "Internet"), wherein said account service application is

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connected to a book-keeping database (see ¶ 0058 on page 3), receiving to said server data representing instructions for at least one transaction (see ¶ 0050 on pages 2-3, “data of a transaction of interest”), using data in the banking service application for conducting the transaction, using at least part of said data as accounting information in the account service application for updating said bookkeeping database (see ¶ 0058); **[claim 2]** the transaction is a payment transaction (see ¶ 0052 on page 3, “capture online buying transactions”); **[claim 3]** the received data further comprises account data connected to the transaction instruction data (see ¶ 0060); **[claim 4]** the account data comprises account information (see ¶ 0060); **[claim 5]** the account data comprises information of value-added tax related to the transaction (it is inherent that tax-related data is included in accounting applications); **[claim 6]** transmitting a network accessible document (see ¶ 0058, “the data is transmitted as a secure XML document”) in response to a request transmitted by the client to said server, said document comprising entering fields for formalized input of information of a user on the client side (see ¶ 0053-0056); **[claim 7]** searching the bookkeeping database for previously entered accounting data (see ¶ 0054, “checks if a template exists”) items similar to the received data regarding at least one predetermined parameter, and if such similar accounting data item are found, suggest additional accounting data to be stored in the bookkeeping database in connection with the newly received accounting information in accordance with information in the found accounting data items (see ¶ 0058); **[claim 8]** the predetermined parameter is the identity of the payment receiver (searching the database for the identity of the payment receiver is inherent, see ¶ 0052, “a particular URL”, wherein a URL is associated with the identity of the payment receiver). **Claims 10-20** include limitations that are identical to claims 1-8 analyzed above in detail.

Regarding **claim 9**, Boublik et al. discloses a system for at least partly automated bookkeeping (see paragraph 0007 on page 1, “transaction data automatically entered into a user’s financial application), comprising: a server (see paragraph 0031 on page 2, “a computerized system comprising a server side component and a client side component”), said server comprising a banking service application (see ¶ 0052) and an account service application (see ¶ 0060, “accounting package”), wherein the server is accessible to at least one client through a wide area network (see Figure 1, “Internet”), a bookkeeping database (see ¶ 0058 on page 3) connected to said account service application, wherein said server is adapted to use data representing instructions for at least one transaction received through said network from said client in the banking service application for conducting the transaction (see ¶ 0050 on pages 2-3, “data of a transaction of interest”), and further to use at least part of said data as accounting information in the account service application for updating said bookkeeping database (see ¶ 0058).

#### ***Response to Arguments***

4. Applicant’s arguments filed December 22, 2003 have been fully considered but they are not persuasive.

On page 9, second paragraph, Applicant notes that the specification has been amended to overcome objections to the disclosure. As a result, the objection to the specification are withdrawn.

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On page 9, third paragraph, Applicant notes that the claims 1, 6, 9, and 13-16 has been amended to overcome objections to the claims. As a result, the objection to the claims are withdrawn.

On page 9, fourth paragraph, Applicant traverses the 35 U.S.C. § 112 rejection of claims 1-8 and 10-20. As result of Applicant's amendment to claim 1, the 35 U.S.C. § 112 rejection is withdrawn.

On page 10, first paragraph, Applicant summarizes claim 1. Applicant's summary of claim 1 acknowledged.

On page 10, second paragraph, Applicant summarizes features of Boublik and states that Boublik fails to anticipate claim 1.

On page 10, third paragraph, Applicant argues that Boublik fails to disclose every element required in claim 1. More specifically, Applicant argues that Boublik fails to disclose "a server comprising a banking service application and an account service application". The Examiner respectfully disagrees. Boublik serves the same purpose as Applicant's claimed invention. Boublik reduces bookkeeping inefficiencies by allowing transaction data to be automatically entered into accounting packages (see ¶ 0007). Regarding claim 1, Boublik discloses all claimed limitations. Boublik includes a server (see ¶ 0031) that collects relevant information (transaction information) and then converts the data into accounting package format (see ¶ 0032, items 2 and 3). Therefore, the server includes both a banking service application (for collecting relevant transaction information) and an account service application (for converting data into accounting format).

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On page 11, first full paragraph, Applicant argues that nowhere in Boublik is there any disclosure of the server comprising a banking application. To the contrary, Boublik discloses a banking service application provided by the server in ¶ 0032, item 2, wherein the server collects relevant transaction data.

On page 11, final paragraph, Applicant argues the claim 9 is allowable for the same reason that Applicant believes claim 1 is allowable. Since the rejection of claim 1 is maintained in view of Boubliks disclosure of includes a server (see ¶ 0031) that collects relevant information (transaction information) and then converts the data into accounting package format (see ¶ 0032, items 2 and 3), the rejection of claim 9 is also maintained for the same reasons.

### ***Conclusion***

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jim McClellan whose telephone number is (703) 305-0212. The examiner can normally be reached on Monday-Friday from 9:30 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Olszewski, can be reached at (703) 308-5183.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

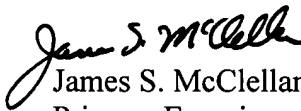
Any response to this action should be mailed to:

Commissioner of Patent and Trademarks  
Washington D.C. 20231

or faxed to:

(703) 872/9306 (Official communications) or  
(703) 746-3516 (Informal/Draft communications).

Hand delivered responses should be brought to Crystal Park 5, 2451 Crystal Drive,  
Arlington, VA, 7<sup>th</sup> floor receptionist.

  
James S. McClellan  
Primary Examiner  
A.U. 3627

jsm  
February 26, 2004